



Investment Management

Overcoming Complexity ... Undertaking Solutions

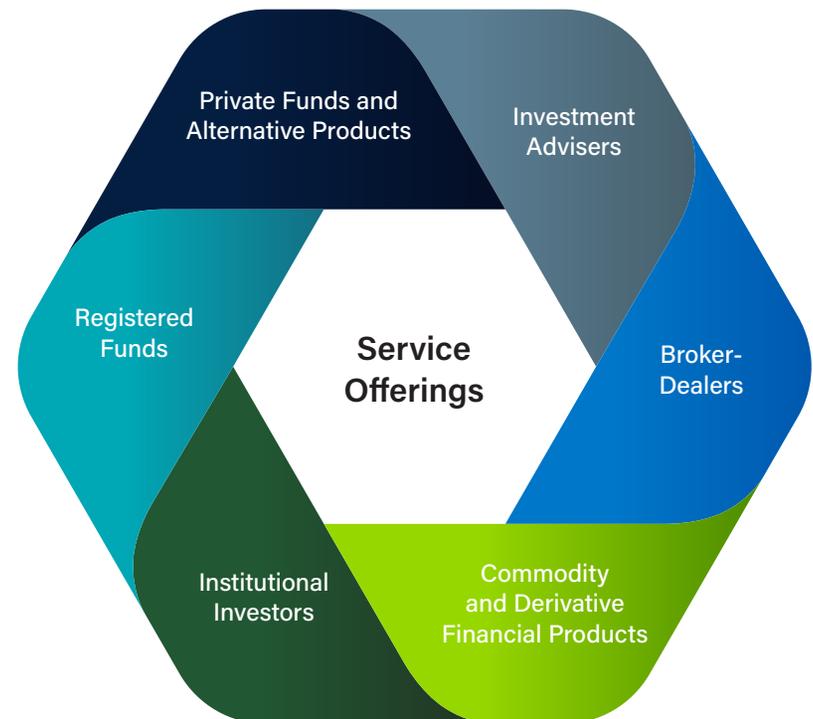
As investment management clients grow and operate their businesses, they look to us to solve complex regulatory and structural challenges — with an eye on mitigating operational and regulatory risks.

At a Glance

Our group is one of the country's **top investment company practices**, nationally ranked by *Chambers USA*.

We advise **national and multinational** private and registered funds and their sponsors, as well as investment advisers, institutional investors, broker-dealers and other institutional market participants on strategy, compliance, business formation and growth.

We draw on **deep in-house and industry experience** to understand your business and develop bespoke solutions that are practical and forward-thinking.



How We Add Value



We are a team of over 60 professionals comprised of attorneys with deep investment management, tax, securities enforcement and litigation, ERISA, corporate, insurance, and information governance and data analytics experience.



Our team provides seamless legal services on the planning, structuring, organizing, funding, investing, documentation and day-to-day operation of funds and alternative investment products. Our risk management assessments and compliance evaluations help assess and mitigate compliance and regulatory risks. We also regularly advise on internal governance issues.



We are known for our long-standing client relationships, which are a testament to our consistently high-quality services.

A Leader in Investment Management

Investment management clients require an experienced legal team that can advise at every turn in this rapidly evolving market.

Advising a broad range of institutional investors, we help our clients understand, structure and negotiate the terms of their investments in private funds, direct and co-investments, secondary transactions, and related alternative investment strategies across legal structures and asset classes. We serve all of the institutional investor's legal needs, extending beyond general investment-related guidance.

Global and domestic investment advisers look to us for advice on complex regulatory, structural and transactional challenges as they grow and operate their businesses. Our team includes former in-house attorneys who are steeped in adviser formation, compliance and business issues.



We advise registered investment companies and their boards on fund formation, regulatory requirements and fund governance. We counsel families of funds including mutual funds, exchange-traded funds, and continuously offered closed-end funds, including interval funds and tender offer funds.

We provide legal services on the planning, structuring, organizing, funding, documentation and day-to-day operation of private funds and alternative investment products. Our proven knowledge of the private fund business — stemming from extensive in-house experience — allows us to provide counsel that is both practical and forward-thinking.

We develop, negotiate and document derivatives transactions, including hybrid and structured notes, swaps and various types of options on behalf of commercial banks, portfolio managers, investment banks, traders and advisors in transactions. Our team regularly consults with industry regulators and has extensive relationships with senior officials at the CFTC and the NFA.