



# ESG Investing Services

*Your Partner in Managing Risk, Driving Growth and Delivering Sustainable Value*

There is a growing consensus among investors that sustainable investing creates sustainable value — and a rapid rise in demand for investment products and financial services that integrate environmental, social and governance (ESG) factors. We have worked with national and multinational asset management clients for nearly half a century, and we provide practical and forward-thinking counsel to help clients design, launch and manage ESG-focused funds and strategies. Our multidisciplinary team delivers integrated regulatory, transactional and compliance support to help you confidently navigate the complex considerations involved throughout the investment decision-making process. Drawing on our in-depth experience helping clients resolve complex ESG investing issues, we have what it takes to help you manage risk, drive growth and make the most of the opportunities in these emerging markets.



## We help:

- Investment Advisers
- Fund Sponsors
- Registered Fund Boards
- Investment Vehicles
- Institutional Investors
- Fund Service Providers

## Find solutions for:



ESG investment product development and support



Development of internal protocols for meeting fiduciary, disclosure and regulatory obligations



Proxy voting and shareholder engagements



ESG investment product sales and marketing communication



Development of ESG investment due diligence processes



Compliance program development and enforcement assistance



We see the forest  
because we helped  
plant the trees.

## The Faegre Drinker Difference

- Deep experience working with investment management clients on ESG-related matters and ESG investment product development from their planning, structuring, organizing, funding, documenting and day-to-day operations
- Strong technical and market networks with in-depth ESG market experience

## Our Core Services

<p><b>ESG Investment Product Development and Support</b></p>		<ul style="list-style-type: none"> <li>Assisting in all aspects of product research, strategy and management for index-based or actively managed ESG investment products and investment portfolios</li> <li>Drafting and reviewing ESG product and sustainable finance documents</li> <li>Concurrent project and protocol development</li> </ul>
<p><b>Internal Protocols for Meeting Fiduciary, Disclosure, and Regulatory Obligations</b></p>		<ul style="list-style-type: none"> <li>Developing strong board governance of ESG investing practices</li> <li>Counseling directors on engagement with ESG fund advisers</li> <li>Helping boards to identify, understand and navigate complex regulatory risks and opportunities</li> </ul>
<p><b>Proxy Voting and Shareholder Engagements</b></p>		<ul style="list-style-type: none"> <li>Developing and implementing ESG proxy voting policies and procedures that are consistent with funds' investment objectives</li> <li>Providing guidance on proxy voting disclosures</li> <li>Managing voting policies and processes</li> </ul>
<p><b>ESG Investment Product Sales and Marketing Communication</b></p>		<ul style="list-style-type: none"> <li>Comprehensive review of product sales and marketing communication</li> <li>Review of regulatory filings, websites, reports to sponsors of global ESG frameworks, client presentations and responses to due diligence questionnaires</li> <li>Review of internal controls to ensure that ESG-related disclosures and marketing are consistent with ESG investing practice</li> </ul>
<p><b>ESG Investment Due Diligence Process</b></p>		<ul style="list-style-type: none"> <li>Developing and implementing policies, procedures, and practices designed around the ESG investment strategies employed to address various stages of ESG investing (research, due diligence, selection, and monitoring)</li> <li>Ensuring consistency between ESG product labeling, portfolio selection criteria and underlying data</li> </ul>
<p><b>Compliance Program Development, Operations and Enforcement Assistance</b></p>		<ul style="list-style-type: none"> <li>Developing and implementing ESG compliance programs, including for disclosure about ESG approaches, and clients' ESG-related investing guidelines</li> <li>Comprehensive review of the firm's written policies and procedures and their implementation</li> <li>Review of compliance oversight processes and ESG investing practices and disclosures</li> </ul>

## Contact Us



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